

10/500317  
 DT 06'd PCT/PTO 2-8 JUN 2004

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 12088/019001

In Re Application Of: **Shinichi KAWASAKI, et al.**

Application No.	Filing Date [Herewith]	Examiner	Customer No. 22511	Group Art Unit	Confirmation No.
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Title: **PLASMA FILM FORMING SYSTEM**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**22511**

PATENT TRADEMARK OFFICE

10/500317

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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[Herewith]

22511

## PLASMA FILM FORMING SYSTEM

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: 6/28/04

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10/500317

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Shinichi KAWASAKI, et al.

Rec'd ECT/PTO 28 JUN 2004

12088/019001

Application No.	Filing Date [Herewith]	Examiner	Customer No. 22511	Group Art Unit
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Invention: **PLASMA FILM FORMING SYSTEM**

I hereby certify that the following correspondence:

**Information Disclosure Statement***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 28, 2004*(Date)*Toni Hill*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EV461137842US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

# INFORMATION DISCLOSURE

(Use several sheets if necessary)

ATTY DOCKET NO.

12088/019001

APPLICATION NO.

107500317

APPLICANT(S)

Shinishi KAWASKI, et al.

Rec'd PCT/PTO 28 JUN 2004

FILING DATE

[Herewith]

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	6,429,400 B1	08/06/2002	Sawada et al.	219	121.52	Sept. 22, 2000

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
A2	3-219082	09/26/1991	Japan	C23C	16/50			✓
A3	2002-158219	05/31/2002	Japan	H01L	21/31			✓
A4	2002-80970	03/22/2002	Japan	C23C	16/509			✓
A5	2000-178744	06/27/2000	Japan	C23C	16/50			✓
65	7-29827	01/31/1995	Japan	H01L	21/205			✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

A7	Patent Abstracts of Japan, publication no. 11-236676, publication date August 31, 1999 (1 page)
A8	Patent Abstracts of Japan, publication no. 05-226258, publication date September 3, 1993 (1 page)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE

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12088/019001

APPLICATION NO. **107500317**

Shinishi KAWASKI, et al

FILING

[Herewith]

DT11 Rec'd DCT/PTO 28 JUN 2003  
GROUP ART

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## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1	2003-249492	09/05/2003	Japan	H01L	21/31		✓
	B2	11-236676	08/31/1999	Japan	C23C	16/50		✓
	B3	5-226258	09/03/1993	Japan	H01L	21/205		✓
	B4	11-251304 **same as A1**	09/17/1999	Japan	H01L	21/3065		✓
	B5	3-248415	11/06/1991	Japan	H01L	21/205		✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	B6	Patent Abstracts of Japan, publication no. 11-251304, publication date September 17, 1999 (1 page)
	B7	Patent Abstracts of Japan, publication no. 03-248415, publication date November 6, 1991 (1 page)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.